

FORM PTO-1390 (REV. 1-2003) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>450100-04815</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known see 37 C.F.R. 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/501306</div>
INTERNATIONAL APPLICATION NO. <b>PCT/JP03/014320</b>	INTERNATIONAL FILING DATE <b>November 11, 2003</b>	PRIORITY DOCUMENT NO. <b>2002-332652</b> PRIORITY DATE CLAIMED <b>November 15, 2002</b>
TITLE OF INVENTION <b>APPARATUS AND METHOD FOR TRANSMISSION, APPARATUS AND METHOD FOR REPRODUCTION, PROGRAM, AND RECORDING MEDIUM</b>		
APPLICANT(S) FOR DO/EO/US <b>Junichi OGIKUBO</b>		
Applicants herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 11 to 20 below concern document(s) or information included:</b>		
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Datasheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information:  <div style="margin-left: 20px;">           PCT/ISA/210, 21 SHEETS OF DRAWINGS, CLAIM OF PRIORITY, REFERENCES FOR IDS, 1 PAGE ABSTRACT, REQUEST FOR APPROVAL OF DRAWING CHANGES         </div> </li> </ol>		

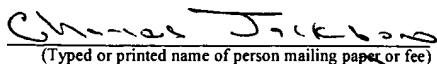
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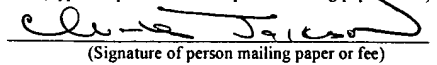
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Date of Deposit: July 13, 2004

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 (Signature of person mailing paper or fee)

U.S. APPLICATION NO. (If known, see 37 CFR 1.50) <b>10/501306</b>	INTERNATIONAL APPLICATION NO. PCT/JP03/014320	ATTORNEY'S DOCKET NO. 450100-04815
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21. <input checked="" type="checkbox"/> The following fees are submitted	<b>CALCULATIONS PTO USE ONLY</b>												
<b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1080.00  International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$920.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$770.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$730.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$100.00													
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>	<b>\$ 920.00</b>												
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$												
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%;">CLAIMS</th> <th style="width: 25%;">NUMBER FILED</th> <th style="width: 25%;">NUMBER EXTRA</th> <th style="width: 25%;">RATE</th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">26 - 20 =</td> <td style="text-align: center;">6</td> <td>x \$18.00/\$9.00</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">7 - 3 =</td> <td style="text-align: center;">4</td> <td>x \$86.00/\$43.00</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total Claims	26 - 20 =	6	x \$18.00/\$9.00	Independent Claims	7 - 3 =	4	x \$86.00/\$43.00	\$
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE										
Total Claims	26 - 20 =	6	x \$18.00/\$9.00										
Independent Claims	7 - 3 =	4	x \$86.00/\$43.00										
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	+ \$290.00/\$290.00												
<b>TOTAL OF ABOVE CALCULATIONS =</b>	<b>\$1,372.00</b>												
<input type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27. The fees indicated above are reduced by 1/2.	+ \$												
<b>SUBTOTAL =</b>	<b>\$1,372.00</b>												
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	\$												
<b>TOTAL NATIONAL FEE =</b>	<b>\$1,372.00</b>												
Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	+ \$ 40.00												
<b>TOTAL FEES ENCLOSED =</b>	<b>\$1,412.00</b>												
Amount to be refunded:	\$												
charged:	\$												

a. ☒ Checks in the amount of **\$1,372.00 and \$40.00** to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

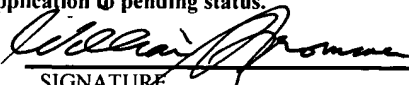
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to Deposit Account No. **50-0320**. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit  
 card information should not be included on this form.** Provide credit card information and authorization  
 on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**WILLIAM S. FROMMER, ESQ.**  
 Frommer Lawrence & Haug LLP  
 745 Fifth Avenue  
 New York, New York 10151

  
 SIGNATURE  
 William S. Frommer  
 NAME  
 25,506  
 REGISTRATION NUMBER

**Dated: July 13, 2004**

10/501306

Attorney Docket No. 450100-04815

New Patent Application filed **July 13, 2004** entitled:

**APPARATUS AND METHOD FOR TRANSMISSION, APPARATUS AND METHOD  
FOR REPRODUCTION, PROGRAM, AND RECORDING MEDIUM**

corresponding to PCT Application No. PCT/JP03/014320

filed November 11, 2003

Express Mail No.: EV468998550US

Date of Deposit: July 13, 2004

I hereby certify that this application and the accompanying papers are being deposited with the

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Charles J. Lee